

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>NAG-0125</b>  U.S. APPLICATION NO. (If known, see 37 CFR 1.51) <b>10/539757</b> New application
INTERNATIONAL APPLICATION NO. PCT/JP03/011885	INTERNATIONAL FILING DATE 18 September 2003	PRIORITY DATE CLAIMED 20 December 2002
TITLE OF INVENTION <b>A POLARIZED TOTAL INTERNAL REFLECTION ILLUMINATION OPTICAL SYSTEM BY ROTARY ANNULUS LIGHT</b>		
APPLICANT(S) FOR DO/EO/US <b>Masasuke Yoshida et al.</b>		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Return Receipt Postcard//Submission of Certificate of Limited Recognition

JC17 Rec'd PCT/PTO 20 JUN 2005

PTO-1390 (Rev. 02-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. <b>10/539757</b> New application		INTERNATIONAL APPLICATION NO. <b>PCT/JP03/011885</b>		ATTORNEY'S DOCKET NUMBER <b>NAG-0125</b>	
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21.	<input checked="" type="checkbox"/> Basic national fee			\$ 300.00	
22.	<input checked="" type="checkbox"/> Examination fee				
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					
All other situations				\$ 200.00	
23.	<input checked="" type="checkbox"/> Search fee				
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					
International Search Report prepared and provided to the Office				\$ 400.00	
All other situations				\$ 500.00	
<b>TOTAL OF 21, 22 and 23 =</b>				\$ 900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
27 - 100 =	/50 =		x \$250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	9- 20 =		x	\$ 0.00	
Independent claims	3 - 3 =		x	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+ 360.00	\$ 360.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1,260.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
<b>SUBTOTAL =</b>				\$ 1,260.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				+	\$
<b>TOTAL NATIONAL FEE =</b>				\$ 1,260.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
<b>TOTAL FEES ENCLOSED =</b>				\$ 1,260.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>18-0013</u> in the amount of \$ <u>1,260.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0013</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p> <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>RADER, FISHMAN &amp; GRAUER PLLC 1233 20th Street, N.W. Suite 501 Washington, DC 20036 (202) 955-3750 CUSTOMER NUMBER: 23353</p> <p style="text-align: right;">SIGNATURE: <u>Toshikatsu Imaizumi</u> NAME  LRA REGISTRATION NUMBER</p>					

JC17 Rec'd PCT/PTO 10/539757 20 JUN 2005

Docket No.: NAG-0125

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Masasuke Yoshida et al.

Application No.: Not Yet Assigned

Confirmation No.: N/A

Filed: Concurrently Herewith

Art Unit: N/A

For: A POLARIZED TOTAL INTERNAL  
REFLECTION ILLUMINATION OPTICAL  
SYSTEM BY ROTARY ANNULUS LIGHT

Examiner: Not Yet Assigned

**SUBMISSION OF CERTIFICATE OF LIMITED RECOGNITION UNDER  
37 CFR § 10.9(b) GRANTED TO TOSHIKATSU IMAIZUMI**

Commissioner for Patents  
P.O. Box 1451  
Alexandria, VA 22313-1451

Dear Sir:

Please make of record the certificate of Limited Recognition under 37 C.F.R. § 10.9(b) granted to Toshikatsu Imaizumi, the undersigned, as an employee of the law firm of Rader, Fishman & Grauer PLLC, to represent the applicant(s) of this application and to prosecute this application under 37 C.F.R. § 10.9(a) and (b) as an attorney, wherein the patent applicant(s) is/are client(s) of the firm of Rader, Fishman & Grauer PLLC and wherein a registered practitioner who is a member of the firm of Rader, Fishman & Grauer PLLC is the attorney or agent of record. A copy of the original document is attached for entry into the record. Mr. Toshikatsu Imaizumi lawfully resides in the United States under the visa specified therein.

Dated: June 20, 2005

Respectfully submitted,

By 

Brian K. Dutton  
Registration No. 47,255  
RADER, FISHMAN & GRAUER PLLC  
1233 20th Street, N.W., Suite 501  
Washington, DC 20036  
(703) 955-3750

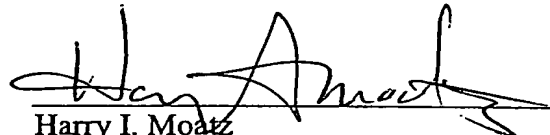
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE**

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Toshikatsu Imaizumi is hereby granted limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Rader, Fishman & Grauer, PLLC, to prepare and prosecute patent applications and to represent patent applicants wherein the patent applicants are clients of the law firm of Rader, Fishman & Grauer, PLLC, and wherein a registered practitioner who is a member of the law firm of Rader, Fishman & Grauer, PLLC, is the attorney or agent of record. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Toshikatsu Imaizumi ceases to lawfully reside in the United States, (ii) Toshikatsu Imaizumi's employment with the law firm of Rader, Fishman & Grauer, PLLC, ceases or is terminated, or (iii) Toshikatsu Imaizumi ceases to remain or reside in the United States on an H1B visa.

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: July 1, 2005**

  
Harry I. Moatz  
Director of Enrollment and Discipline